

FORM PTO-1390 (Modified)
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

01000001

U.S. APPLICATION NO. (IF KNOWN) SEE 37 CFR

09/423378

INTERNATIONAL APPLICATION NO.

PCT/US98/09590

INTERNATIONAL FILING DATE

12-May-1998

PRIORITY DATE CLAIMED

12-May-1997

TITLE OF INVENTION

Method and Apparatus for the Centralized Collection of Geographically Distributed Data

INVENTOR(S) FOR DO/EO/US

Marc L. KOZAM and Louis Y. KORMAN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☐ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

1. Copy of PCT Request Form (with copy of application as originally filed) ;
2. Copy of PCT General Power of Attorney;
3. Copy of Response to Invitation to Correct Defects in the International Application;
4. Copy of International Application as published 19-November-1998 No. WO 98/52113;
5. Copy of PCT Chapter II Demand;
6. Copy of Assignment document as filed with the USPTO on 11-January-1999;
7. Copy of Recordation of Assignment Document;
8. Copy of Written Opinion;
9. Copy of Verified Statement Claiming Small Entity Status as filed with USPTO on 10/23/97; and
10. Copy of all correspondence with the various authorities

11/08/99

U.S. APPLICATION NO. (IF KNOWN, SEE 2)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
09/42337	PCT/US98/09590	0106-0001

20. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Search Report has been prepared by the EPO or JPO \$840.00
- ☒ International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00
- ☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00
- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY**

\$670.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	25 - 20 =	5	x \$18.00
Independent claims	4 - 3 =	1	x \$78.00

\$90.00

\$78.00

Multiple Dependent Claims (check if applicable). ☐

\$0.00

TOTAL OF ABOVE CALCULATIONS =

\$838.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☒

\$419.00

SUBTOTAL =

\$419.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

TOTAL NATIONAL FEE =

\$419.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

\$0.00

TOTAL FEES ENCLOSED =

\$419.00

Amount to be: refunded	\$
charged	\$

☒ A check in the amount of \$419.00 to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-0622 A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Toni-Junell Herbert
SHANKS & HERBERT
TransPotomac Plaza
1033 North Fairfax Street, Suite 306
Alexandria, VA 22314
United States of America
(703) 683-3600
(703) 683-9875 (facsimile)

SIGNATURE

Toni-Junell Herbert

NAME

34,348

REGISTRATION NUMBER

DATE

11/08/99

09/423378

420 Rec'd PCT/PTO 08 NOV 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
IN THE CAPACITY OF INTERNATIONAL PRELIMINARY
EXAMINATION AUTHORITY

In re PCT APPLICATION of:

Applicant(s) : Kozam, et al.

Applic. No. : PCT/US98/09590

Filed: : 12-May-1998

For : Method and Apparatus for the
Centralized Collection of
Geographically Distributed Data

Atty Ref. : 0106-0001

STATUS REQUEST

7/1/99
Date

Assistant Commissioner of Patents
Box PCT
Washington, D.C. 20231

Sir:

By our estimates, we should have been in receipt of the First Written Opinion quite some time ago. We have not received it. Please advise us on the status of the Written Opinion in the above-captioned PCT application.

Thank you.

By

Toni-Junell Herbert
Toni-Junell Herbert (Reg. No.34,348)
SHANKS & HERBERT
1033 North Fairfax Street, Suite 306
Alexandria, VA 22314
Telephone: (703) 683-3600
Telefax: (703) 683-9875

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SECOND
STATUS REQUEST

Date 4/13/99

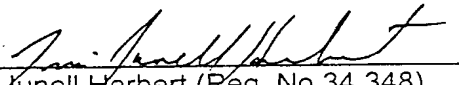
Assistant Commissioner of Patents
Box PCT
Washington, D.C. 20231

Sir:

We have not as yet received any Written Opinion in the above-identified case. By our estimates, the Written Opinion in this case is substantially late. A prior Status Request into the matter (see attached copy of prior request and date-stamped postcard) has not garnered a response. Please advise us on the status of the Written Opinion in the above-captioned PCT application as soon as possible.

Thank you.

By


Toni-Junell Herbert (Reg. No. 34,348)
SHANKS & HERBERT
1033 North Fairfax Street, Suite 306
Alexandria, VA 22314
Telephone: (703) 683-3600
Telefax: (703) 683-9875

Applicant: Kozam et al.
International PCT/US98/09590
Appl. No:
Filed: May 12, 1998

Docket No.: 0106-0001

Attorney: Toni-Junell Herbert
Shanks & Herbert

For: Method and Apparatus for the
Centralized Collection of
Geographically Distributed Data

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. Status Request; and
2. Return postcard.



Applicant: Kozam, e
App. Serial No: 60/046,214
Filed: May 12, 1997

Docket No. 06-0001
By: Toni-Junell Herbert
Shanks & Herbert

For: Method and Apparatus for the
Centralized Collection of
Geographically Distributed Data

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. Recordation Cover Sheet;
2. Assignment;
3. Check in the amount of \$40; and
4. Return postcard.

